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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/812,704
Filing Date	03/19/2001
First Named Inventor	LEWIS, Charles
Art Unit	3626
Examiner Name	GILLIGAN, Christopher
Attorney Docket Number	044258.000003

Sheet 1

of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 20020111826		Potter, et al.	
		US- 20020120468		William Atallah	
		US- 6,000,828		Larry Leet	
		US- 6,370,511		Dang	
		US- 5,557,514		Seare, et al.	
		US- 5,706,441		Lockwood	
		US- 5,724,379		Perkins, et al.	
		US- 5,835,897		Dang	
		US- 5,918,208		Javitt	
		US- 5,953,704		McIlroy, et al.	
		US- 6,195,612		Pack-Harris	
		US- 6,223,164		Sears et al.	
		US- 20010037216		Robert S. Oscar, et al.	
		US- 20010041990		Jonathan C. Javitt	
		US- 5,784,635		McCallum	
		US- 6,026,364		Whitworth	
		US- 6,151,581		Kraftson, et al.	
		US- 2002152097		Javors	
		US- 5,924,073		Tyuluman, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

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Sheet	2	of	4
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Sheet 3	of 4	Attorney Docket Number	044258.000003

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		HIGGING, M., Securing the perimeter; Health Managment Technology; Atlanta; Dec 2000; Volume 21; Issue 12 Start Page 8-12	
		LANDON et al., A conceptual Model of the Effects of Heath Care Organizations on the Quality of Medical Care, May 1998, JAMA, Vol. 270, No. 17, Pgs 1377-1382	
		RICE, Physicians Payment Policies, 1997, Annual Review Public Health, Pgs. 549-565	
		GLASS et al., Incentive-Based Physician Compensation Models, July 1999, Journal of Ambulatory Care Management, Pgs. 36-40	
		SEGAL et al., Influencing Physicians Prescribing, October 1999, Pharmacy Practice Management Quarterly, Pgs. 30-50	
		CHERNEY, Choosing as Advantageous Risk-Sharing Arrangement, March 1999, Healthcare Financial Managment, Pgs. 35-37	
		ROSENSTEIN et al., Changing Physicians Behavior is Tool to Reduce Health Care Costs, September 1991, Healthcare Strategic Managment, Vol. 9, Iss. 9, Pgs. 14-16	
		SNAIL, The Effects of Hospital Contracting for Physicians Services on Hospital Performance, Spring 2000, University of California, Berkley, Pgs. 1-182	
		YOUNG et al., Aligning Physician Financial Incentives In a Mixed-Payment Environment, Healthcare Financial Management, Pgs. 46-55 (October 2000)	
		Davis et al., New Compensation Model Improves Physician Productivity, July 1999, Healthcare Finiancial Managment, Pgs. 46-49	

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		SHULKIN, Promoting Cost Effective Physician Behavior, July 1993, Heathcare Financial Management, Vol.47, No. 7, Pgs. 48-54	
		SCHWARTZ, Creating a Benchmark Database, January 1998, Health Managment Technology, Pgs. 65-66	
		File History of Lewis Application No. 11/933,075	
		File History of Moore Application No. 11/924,751	
		File History of Moore Application No. 09/812,703	

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